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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Tetsujiro Tada  
Serial No.: 10/616,574  
Filed: July 9, 2003  
For: APPARATUS FOR AND  
METHOD OF  
SMOOTHING  
SUBSTRATE SURFACE  
Group Art Unit: 3723  
Examiner: Unknown  
Attorney Docket: NMCI P040

**CERTIFICATE OF MAILING**

Date of Deposit: September 14, 2004

I hereby certify that this correspondence is, on  
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**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

Commissioner for Patents  
Alexandria, Virginia 22313

Sir:

The following Form 1449 and copies of documents listed thereon are being filed herewith as a Disclosure Statement. Consideration of each of these documents by the Patent Examiner, and the making of each of them of record in the file of this application, are respectfully requested.

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

An English translation of the abstract part of two of the cited references is submitted. No English translation of the third of the cited references is available.

All these references were cited by the Japanese Patent Office for rejecting the application on the ground of obviousness, the examiner stating in the letter of rejection that it would have been possible to make the invention in the application by a skilled person in the art with the knowledge of the cited publication.

Respectfully submitted,



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**Form 1449 (Modified)****Information Disclosure  
Statement By Applicant**

(Use Several Sheets if Necessary)

Atty Docket No.

NMCI P040

Application No.:

10/616,574

Applicant:

Tetsujiro Tada

Filing Date

July 9, 2003

Group

3723

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
J	5-12075	02/19/1993	JP					X
K	2002-031897	01/31/2002	JP				X	
L	2002-113648	04/16/2002	JP				X	
M								
N								

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	O	
	P	
	Q	
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.